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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under

Attorney Docket No. 53047/31628

First Inventor Laura L. Dugan

Title Carboxyfullerenes and Methods of Use Thereof

Express Mail No. EL474185237US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
2. ☒ Specification [Total Pages 43]
(preferred arrangement set forth below)
☒ Descriptive title of the invention
☐ Cross Reference to Related Applications
☒ Statement Regarding Fed sponsored R & D
☐ Reference to sequence listing, a table,
or a computer program listing appendix
☒ Background of the Invention
☒ Brief Summary of the Invention
☒ Brief Description of the Drawings (if filed)
☒ Detailed Description
☒ Claim(s)
☒ Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 8]
4. ☒ Oath or Declaration [Total Pages 3]
☒ Newly executed (original or copy)
☐ Unexecuted
☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).

ADDRESS TO:

Assistant Commissioner for Patents
BOX PATENT APPLICATION
Washington, D.C. 20231

5. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identify of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
14. ☐ Nonpublication Request under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent
15. ☒ Certificate of Express Mail
16. ☐ Other: _____

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____
Prior application information: Examiner _____ Group Art Unit _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

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EXPRESS MAIL CERTIFICATE OF MAILING (37 CFR 1.10)**Express Mail No. EL4741852237US**

Docket No.

53047/31628

In Re Application Of:

Dugan et al.

Serial No.
To be assignedFiling Date
2/23/02Examiner
To be assignedGroup Art
Unit

Title:

Carboxyfullerenes and Methods of Use Thereof

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS

Transmitted herewith is:

Utility Patent Application Transmittal:

- ☒ Patent Application
☒ Drawings: 8 Sheets ☒ Formal ☐ Informal;
☒ Power of Attorney: ☐ Executed ☒ Not executed
☒ Declaration: ☒ Executed ☐ Not executed
☐ Assignment with Recordation Form
☐ 37 CFR 3.73(b) Statement
☐ Information Disclosure Statement with References (☐)
☐ NonPublication Request under 35 U.S.C. 122(b)(2)(B)(i)
☒ Postcard
☐ A check in the amount of _____ is attached


SignatureDated: February 23, 2002

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